2024 NCHA World Championship Futurity

In cooperation with the City of Fort Worth and Visit Fort Worth Terms and Conditions – Initial Publication 10/18/2023

- 1. This event is hosted by the National Cutting Horse Association to be held in Fort Worth, TX at the Will Rogers Memorial Center. The NCHA reserves the right to limit/decline entries for any reason.
- 2. Membership: All owners named on horse papers and riders of entered horses must be members in good standing of the National Cutting Horse Association. Owner memberships can be either individuals or partnerships.
- 3. Horse Age: The Futurity is for three (3) year old horses (foals of 2021), all breeds.
- 4. Divisions & Levels Offered
 - a. The NCHA Futurity will be held in three Divisions:
 - i. *Open Entry is made by horse. Owners may enter an unlimited number of horses in the Open Division. Once named, horse limits are imposed on the rider.*
 - i. Non-Pro Entry shall be made by rider. Horse limits are imposed on the rider.
 - ii. Amateur Entry shall be made by rider. Horse limits are imposed on the rider.
 - b. A horse may be entered in all three Divisions but only once per level.
 - c. Within all divisions, there are additional levels based on RIDER eligibility:
 - i. Open
 - 1. Top Tier Open
 - 2. Intermediate Open
 - 3. Limited Open
 - ii. Non-Pro
 - 1. Top Tier Non-Pro
 - 2. Intermediate Non-Pro
 - 3. Limited Non-Pro
 - iii. Amateur
 - 1. Top Tier Amateur
 - 2. Intermediate Amateur
 - 3. Limited Amateur
 - d. Entering Multiple Levels within a Division
 - i. Open riders will automatically be entered into the division(s) for which they are eligible.

- ii. Non-Pro riders will automatically be entered into the division(s) for which they are eligible.
- iii. AMATEUR Riders have two choices when entering more than one level.
 - 1. Entering Two Levels
 - a. Option 1 = **Separate Entries**. Entry can be made into two separate levels. The rider will ride twice into the herd. Example, Rider A enters the Amateur and the Limited Amateur separately. They receive 2 draws within the first go round and 2 separate scores. The horse will not be drawn twice in the same set.
 - b. Option 2 = Combo Entry. Entry can be made into a combo for the 2 levels where the rider receives only 1 draw within the first go and only 1 score for both levels. Example, Rider B enters the Amateur / Limited Amateur Combo. They receive 1 draw within the first go round and that 1 score counts for both levels.

2. Entering Three Levels

- a. Option 1 = 1 Separate Plus 2 Combo. A horse cannot show more than twice in the same division. Entry can be made into one level separately and the other two as a combo. Example, Rider C enters the Intermediate Amateur separately and the Amateur / Limited Amateur Combo. They receive 2 goes in the division go round. The score for the Intermediate Amateur stands alone and the score for the Amateur / Limited Amateur Combo counts for both the Amateur and the Limited Amateur.
- b. Option 2 = **All 3 as a Combo**. A rider only wants to ride to the herd 1 time but wants to enter all 3 levels. They enter the Amateur Intermediate Amateur Limited Amateur combo. Example, Rider D enter the triple level combo. They will receive only 1 draw for the division and that 1 score will count for all 3 levels.

e. Subclasses

i. Within all Divisions / Levels, there are additional subclasses based on additional criteria. See the Subclass section below.

5. Level Eligibility

a. Time Frame - Eligibility for each of the 3 levels are determined by the value of the Rider's Total Lifetime Earnings as of December 28th of the previous year. Total Lifetime Earnings include both Limited Aged Event earnings and Weekend earnings as well as AWO (Area Work Offs) & AQHA.

b. Level Earning Limits:

Division	Rider Lifetime Total Earnings
Open	\$750,000 or more
Intermediate Open	\$200,001 - \$749,999* ₊
Limited Open	\$0 - <i>\$200,000</i>
Non-Pro	\$500,000 or more
Intermediate Non-Pro	\$100,001 - \$499,999* -
Limited Non-Pro	\$O - \$100,000
Amateur	\$100,000 or more
Intermediate Amateur	\$25,001 - \$99,999* ₊
Limited Amateur	\$0 - <i>\$25,000</i>

c. Exceptions:

- i. There is no exception that allows a rider to return to the Limited Level.
- ii. *Senior Exception: Riders aged 70 and older as of date the level begins can enter the Intermediate Level in all divisions regardless of earnings or rider rankings.
- iii. *Intermediate Level Revolving Door Exception:
 - 1. Top 100 List
 - a. Open or Non-Pro riders not any of the Top 100 money earning rider lists for the previous two-point years in any division, may enter the Intermediate Level in their respective division(s).
 - b. Amateur riders **over the age of 60** who are not on any of the Top 100 money earning rider lists for the previous two-point years in any division may enter Intermediate Amateur.
 - c. Amateur riders under the age of 60 in the Non-Pro Hall of fame may NOT fall back into Intermediate Amateur.

iv. Amateur Earnings Cap

- 1. Amateur Competitors 70 and older as of the day the 1st go of the Amateur begins may enter the Top Tier Amateur or the Intermediate Amateur.
- 2. Qualified Amateur competitors who are 60-69, may compete in the Top Tier Amateur regardless of Limited Age Non-Pro earnings.
- 3. Amateur competitors under the age of 60 remain subject to the \$250,000 LAE Non-Pro Cap earnings cap. If eligibility limits are surpassed during the show, the exhibitor can complete the show in that specific level or division.
- v. Non-Pro competitors remain subject to Standing Rule 50.d.6.
 - 1. If Open eligibility limits are surpassed during the show, the exhibitor can complete the show in that specific level or division.

d. Ineligibility

- i. Prior to the draw all efforts will be made to identify ineligible entries prior to the draw. If a rider has attempted to compete in these classes and the horse or rider is found to be ineligible, disciplinary action may be taken.
- ii. In all cases, including rider changes after the draw, the burden of proof of eligibility is on the rider.
- iii. In the case where a rider competes in a level for which he/she is not eligible, they will be disqualified, no premium monies paid, and no refunds will be issued.

6. Entry Options / Slots

- a. Open Division:
 - i. Entry must be made in the name of the Horse. This is NOT a Slot.
- b. Non-Pro Division:
 - i. You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
 - ii. You may enter under your name (slot) or the name of an Immediate Family Member as referenced in Standing Rule 51.a.4.
- c. Amateur Division Levels:
 - i. You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
 - ii. You may enter under your name (slot) or the name of an Immediate Family Member as referenced in Standing Rule 51.a.4.

d. Sub Classes:

- i. Rules For all:
 - Subclass entries must have a corresponding entry in the same Division / Level pairing.
 - 2. In the event there are less than three (3) entries at the start of the go round for any subclass, class will not be held, and entries will be refunded.

ii. Senior Subclass:

- 1. Available for entry in all Divisions / Levels
- 2. Riders must be 60 years of age or older at the time of the first day of the class go-round and date of birth is required at entry.
- 3. Riders may ride two (2) horses of any sex.
- 4. This subclass will have a non-working final.
- 5. Intermediate Open Senior, Limited Open Senior / Intermediate Non-Pro Senior, Limited Non-Pro Senior must be entered separately. ENTRY IS NOT AUTOMATIC.
- 6. Payout will be made according to the NCHA payout, to the highest advancing horses.

iii. Gelding Subclass:

- 1. Available for entry in all Division / Levels
- 2. Proof of gelding status must accompany entry. Please submit notification of a gelding update to the NCHA office.
- 3. Riders may ride two (2) horses.
- 4. This subclass will have a non-working final.
- 5. Intermediate Open Gelding, Limited Open Gelding / Intermediate Non-Pro Gelding, Limited Non-Pro Gelding must be entered separately. ENTRY IS NOT AUTOMATIC.
- 6. Payout will be made according to the NCHA mandatory payout, to the highest advancing horse.

iv. Chrome Cash Subclass:

- 1. Available for entry in all Division / Levels
- 2. Proof of American Paint Horse Registration must accompany entry.
- 3. Riders may ride two (2) horses.
- 4. This subclass will have a non-working final.
- 5. Intermediate Open Chrome Cash, Limited Open Chrome Cash / Intermediate Non-Pro Chrome Cash, Limited Non-Pro Chrome Cash must be entered separately. ENTRY IS NOT AUTOMATIC.
- 6. Payout will be made according to the NCHA mandatory payout, to the highest advancing horse.

7. Horse Limits / Disqualifications

- a. Riders may ride a maximum of two (2) horses of any sex in each Futurity Division.
- b. Disqualifications
 - i. Any violation of this rule will cause the rider to be disqualified from the contest, with possible additional disciplinary action.
 - 1. Open: Any horse(s) over the allowed two will be disqualified. The disqualified entry or entries will be determined by the latter placement in the draw.
 - 2. Non-Pro & Amateur levels: **ALL** entries will be disqualified in that level or sub-class.
- c. Horses participating in the NCHA Futurity must not have been shown in any prior events. Furthermore, they are prohibited from being worked on cattle in the Will Rogers Coliseum prior to the Futurity.

OPEN

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

	TOTAL	Jackpot⁺	Show Fees	Payment & Penalty (after deadline)
Paid in Full Discount: See below for Fees	<i>\$3,643*</i>			
#1 - On/Before Nov 1st	\$200	\$200		\$400
#2 – On/Before Jan 2 nd	\$500	\$500		\$1,000
#3 – On/Before Aug 16 th	\$1,100	\$1,100		\$2,200
#4 – On/Before Oct 2 nd	\$2,237*	\$900	\$1,237	\$4,274
Total	\$4,037	\$2,700		

^{*} Open includes optional \$100 PAC Fee

Paid in Full Discount without penalties

Non Futurity Sale Horses – Prior to November 1st = \$3,643

Futurity Sale Horses - paid at the sale = \$3,643

Paid in Full Discount with penalties

On Or Before August 16th = \$3,643 PLUS Late penalties for all missed payments

NON-PRO

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

	TOTAL	Jackpot⁺	Show Fees	Payment & Penalty (after deadline)
Paid in Full Discount:	\$3,191*			
See below for Fees				
#1 - On/Before Nov 1st	<i>\$200</i>	\$200		\$400
#2 – On/Before Jan 2 nd	<i>\$500</i>	\$500		\$1,000
#3 – On/Before Aug 16 th	\$900	\$900		\$1,800
#4 – On/Before Oct 2 nd	<i>\$1,937*</i>	\$700	\$1,162	\$3,724
Total	<i>\$3,537</i> *	\$2,300		

^{*} Non-Pro includes optional \$75 PAC Fee

Paid in Full Discount without penalties

Non Sale Horses – Prior to November $1^{st} = \$3,191$

Futurity Sale Horses - paid at the sale = \$3,191

Paid in Full Discount with penalties

On Or Before August 16th = \$3,191 PLUS Late penalties for all missed payments

AMATEUR (Stand Alone)

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

	TOTAL	Jackpot ⁺	Show Fees	Payment & Penalty (after deadline)
#1 – On/Before Aug 16 th	\$800	\$800		\$1,200.00
#2 – On/Before Oct 2 nd	\$1,499*	\$600	\$879	\$2,218.50
Total	\$2,299	\$1,400		

^{*} Amateur includes optional \$20 PAC Fee.

INTERMEDIATE AMATEUR (Stand Alone)

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

	TOTAL	Jackpot⁺	Show Fees	Payment & Penalty (after deadline)
#1 - On/Before Aug 16 th	<i>\$700</i>	\$700		\$1,050.00
#2 – On/Before Oct 2 nd	<i>\$1,363*</i>	\$500	\$843	\$2,014.50
Total	\$2,063*	\$1,200		

^{*} Intermediate Amateur includes optional \$20 PAC Fee

LIMITED AMATEUR (Stand Alone)

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

PAYMENT BREAKDOWN

	TOTAL	<i>Jackpot</i> ⁺	Show Fees	Payment & Penalty (after deadline)
#1 - On/Before Aug 16 th	<i>\$650</i>	\$650		\$975.00
#2 – On/Before Oct 2 nd	<i>\$1,176*</i>	\$350	\$806	\$1,734.00
Total	\$1,826*	\$1,000		

^{*} Limited Amateur includes optional \$20 PAC Fee

COMBO: AMATEUR + INTERMEDIATE AMATEUR + LIMITED AMATEUR DEADLINE AND AMOUNT DUE: PAYMENT BREAKDOWN

	TOTAL	Jackpot ⁺	Show Fees	Payment & Penalty (after deadline)
#1 - On/Before Aug 16 th	<i>\$2,150</i>	\$2,150		\$3,225.00
#2 – On/Before Oct 2 nd	<i>\$2,349*</i>	\$1,450	\$879	\$3,493.50
Total	\$4,499*	\$3,600		

^{*} This Combo includes optional \$20 PAC Fee.

COMBO: AMATEUR + INTERMEDIATE AMATEUR

DEADLINE AND AMOUNT DUE:

	TOTAL	Jackpot⁺	Show Fees	Payment & Penalty (after deadline)
#1 - On/Before Aug 16 th	<i>\$1,500</i>	\$1,500		\$2,250.00
#2 - On/Before Oct 2 nd	<i>\$1,999*</i>	\$1,100	\$879	\$2,968.50
Total	\$3,499°	\$2,600		

^{*} This Combo includes optional \$20 PAC Fee.

COMBO: AMATEUR + LIMITED AMATEUR DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

	TOTAL	Jackpot ⁺	Show Fees	Payment & Penalty (after deadline)
#1 - On/Before Aug 16 th	<i>\$1,450</i>	\$1,450		\$2,175.00
#2 – On/Before Oct 2 nd	\$1,849*	\$950	\$879	\$2,743.50
Total	\$3,299*	\$2,400		

^{*} This Combo includes optional \$20 PAC Fee.

COMBO: INTERMEDIATE AMATEUR + LIMITED AMATEUR DEADLINE AND AMOUNT DUE: PAYMENT BREAKDOWN

	TOTAL	Jackpot⁺	Show Fees	Payment & Penalty (after deadline)
#1 - On/Before Aug 16 th	<i>\$1,350</i>	\$1,350		\$2,025.00
#2 – On/Before Oct 2 nd	\$1,713*	\$850	\$843	\$2,539.50
Total	\$3.063*	\$2,200		

^{*} This Combo includes optional \$20 PAC Fee.

*PAC Fees: Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution. Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown Events. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment without the amount indicated as the PAC fee for your class.

SUBCLASSES – are offered with all Divisions and Levels. Intermediate Open, Limited Open, Intermediate Non-Pro, and Limited Non-Pro subclasses are NOT AUTO ENTRY and must be entered separately.

Senior

	Total	Jackpot ⁺	Show Fees
Subclasses must be entered prior to the first	\$600	\$500	\$100
horse in the respective Go Round works.	7000		•

Gelding

	Total	<i>Jackpot</i> ⁺	Show Fees
Subclasses must be entered prior to the first	\$600	\$500	\$100
horse in the respective Go Round works.	4000	7000	4.00

Chrome Cash

	Total	Jackpot⁺	Show Fees
Subclasses must be entered prior to the first horse in the respective Go Round works.	\$600	\$500	\$100

⁺A one percent (1%) deduction will be made from every jackpot for the NCHA special Events Fund.

9. Entry Deadlines / Penalties / Late Entries

- a. Mailed Entries / Payments
 - i. Entries must be postmarked ON or before the due date.
- b. Online Entries / Payments
 - i. Submissions must be received prior to 11:59 pm central time ON the due date.
- c. Penalties apply the day following the deadline on all payments.
- d. Due dates for all payments are listed in section 8. Entry fee breakout (Jackpot, Show Fees, PAC are listed in section 8 above).

e. Open & Non-Pro Division

Date	Late Payment Calculation	
Through October 2	Add missed Jackpot + Show Fees payment(s) together	
	and multiply by 2. PLUS, any remaining fees due	
	through October 2	
October 3 – 1 st Go	Add missed Jackpot + Show Fees payment(s) together	
Round	and multiply by 2.	

f. Amateur Stand Alone Divisions (or Combos not made by adding to an EXISTING entry)

Date	Late Payment Calculation	
Through October 2	Payment PLUS 50% of first payment due (Jackpot)	
October 3 – Draw	Payment PLUS 50% of Jackpot + Show Fees payment(s)	
	due	
After draw – 1 st Go	Add missed Jackpot + Show Fees payment(s) together	
Round	and multiply by 2.	

g. ADDING an Amateur Combos to EXISTING Amateur Entry

Date	Late Payment Calculation	
Through Draw	No penalty	
After draw – 1st Go	Payment PLUS 50% of entry fee (Jackpot + Show Fees)	
Round		

- h. **Subclasses:** No penalties on subclass addition. However, subclasses must be entered prior to the first horse in the respective Go Round works.
- i. **Non-Payment:** Issuance of a check that is not honored for any reason or a credit card payment that is declined for any reason to cover an entry fee shall constitute no payment. Entries that are deemed Non-Payment will have Penalties assessed according to the dates and schedules listed above.

j. Failure to Pay: All payments must be made payable to the National Cutting Horse Association in United States funds or its equivalent and received in the office by the due date. All fees, including late penalties, must be paid on or before the draw or entry will be cancelled without refund.

10. Refunds

- a. Entry Fees may be refunded due to the death of the entered rider, owner or an immediate family member of the rider or owner as defined in NCHA Rule 50.4.d; 30 days or less before the 1st day of the event. Requests for refunds shall be accepted from the estate executor/executrix and refunds will be made payable to the estate of the rider/owner as determined appropriate by Probate Court. Any refund request shall be accepted until 48 hours after an event completed to file a request for a refund.
- b. Subclasses that are not held when minimum entry requirement is not met will be refunded to the original payer.
- c. There will be no refunds for any other reason.

11. Purse Payouts

- a. Payouts in all classes at NCHA produced events will be made in:
 - i. Open Levels and subclasses to the owner on record at the time of the event.
 - ii. Non-Pro and Amateur Levels and subclasses to the rider.
- b. All working and/or non-working finalists will receive a payout.

12. Advancement Criteria & Payout Calculations

- a. Stipulations applying to all Divisions and Levels:
 - i. LATE entries (after the draw)
 - 1. Will not be included when calculating the advancing number of entries to the second go, semifinals, or finals.
 - 2. Will be required to work last in the herd. Additional cattle will not be added for late entries.
 - ii. Only scores of 181 or higher will advance further in competition.
 - iii. All other horses will be dropped from further competition.
 - iv. When finals are held, scores made in the go rounds and semifinals will be disregarded. ONLY scores made in the finals will be used to determine final placings.
 - v. All advancing counts will take ties.

b. Open Division - Based on Entry counts at time of draw^:

# of	ADVANCING TO	ADVANCING TO	ADVANCING TO	
Entries	2 ND GO	SEMIFINALS	FINALS	
	OPEN			
0 - 99	Top 60% Max 240	None	Top 20	
100 - 600	Top 60% Max 240	Top 20% Composite Min 45 - Max 60	Top 20	
601+	Top 60% Max 240	Top 20% Composite Min 45 - Max 75	Top 20	
INTERMEDIATE OPEN^				
0 - 24	Open Advancing*		Non Working Mandatory	
25+	Open Advancing*		20% Min 6 Max 25	
LIMITED OPEN ^				
0 - 24	Open Advancing*		Non Working Mandatory	
25+	Open Advancing*		20% Min 6 Max 25	

^{*} Intermediate Open and Limited Open riders that advance in the Open will run concurrently in the Open 2nd Go. Intermediate Open & Limited Open finals will be based on the highest advancing scores.

1. Open Payouts (All Levels) - TOTAL PURSE will be split by a percentage between the 3 levels.

c. Non-Pro Division – Based on Entry counts at time of draw^:

# of	ADVANCING TO	ADVANCING TO	ADVANCING TO	
Entries	2 ND GO	SEMIFINALS	FINALS	
NON-PRO				
0 - 99	Top 60%	None	Top 20	
100+	Top 60% Max 240	Top 20% Composite Min 45 – Max 60	Top 20	
	INTERMEDIATE NON-PRO^			
0 – 24	Non-Pro Advancing*		Non Working Mandatory	
25+	Non-Pro Advancing*		20% Min 6 Max 25	
LIMITED NON-PRO [^]				
0 - 24	Non-Pro Advancing*		Non Working Mandatory	
25+	Non-Pro Advancing*		20% Min 6 Max 25	

^{*} Intermediate Non-Pro and Limited Non-Pro riders that advance in the Non-Pro will run concurrently in the Non-Pro 2nd Go. Intermediate Non-Pro and Limited Non-Pro finals will be based on the highest advancing scores.

Advancing counts for the Open will be determined at the draw. Intermediate Open and Limited Open will be determined at the **start of the first go**. Rider changes after the draw will be automatically entered in the Intermediate and/or Limited Open (depending on eligibility) and **will** affect advancing counts until the start of the first go.

^Advancing counts for the Non-Pro will be calculated at time of draw. Intermediate Non-Pro and Limited Non-Pro will be determined at the **start of the first go**. Unnamed Slots and rider changes after the draw will be automatically entered in the Intermediate Non-Pro and/or the Limited Non-Pro (depending on eligibility) and **will** affect the Intermediate Non-Pro and Limited Non-Pro advancing counts until the start of the first go.

i. Non-Pro Payouts (All Levels) - TOTAL PURSE will be split by a percentage between the 3 levels.

d. Amateur Division - Based on Entry counts at time of draw:

<u> </u>				
# of	ADVANCING TO	ADVANCING TO		
Entries	SEMIFINALS ⁺	FINALS		
	AMATEUR			
0 - 24	None	Non Working Mandatory		
25 - 99	None	Top 20% Max 30		
100+	Top 50% Composite Max 75	Top 20% Max 30 (2 sets of cattle)		
INTERMEDIATE AMATEUR				
0 – 24	None	Non Working Mandatory		
25 - 99	None	Top 20% Max 30		
100+	Top 50% Composite Max 75	Top 20% Max 30 (2 sets of cattle)		
LIMITED AMATEUR				
0 - 24	None	Non Working Mandatory		
25 - 99	None	Top 20% Max 30		
100+	Top 50% Composite Max 75	Top 20% Max 30 (2 sets of cattle)		

⁺There will be payouts to all semifinalists.

13. Naming of Non-Pro / Amateur Slots

- a. Each Non-Pro or Amateur entry shall name the horse or horses to be ridden before the draw.
- b. If a Non-Pro or Amateur rider does not name their horse or horses before the draw, the working order will be drawn in the name of the rider.
- c. Multiple Unnamed Slots If a rider has more than one slot and names the horses after the draw:
 - i. All horses named the horses shall work in alphabetical order.
 - ii. One horse named / One unnamed the named horse will work in the first assigned draw and the other draw will be left vacant.

d. Late Naming Fees

- i. Unnamed Horses may still be named for the following fees:
 - 1. \$400 if named after the draw but before 5pm the evening before the start of the first go round.

- 2. \$500 if named after 5pm on the evening prior to the start of go round but before the beginning of the first go round.
- 3. 2x the original entry fee (Jackpot + Show Fees) if named after the beginning of the first go round.

14. Selling of Non-Pro / Amateur Slots

- a. Prior to the draw
 - i. A Non-Pro or Amateur rider's slot or named entry may be sold to another Non-Pro or Amateur without additional fees.
- b. A Non-Pro or Amateur may sell their slot or named entry after the draw but before the beginning of the first scheduled go-round. The buyer will be required to submit horse registration papers, a completed transfer with original horse papers, or a bill of sale showing proof of ownership of an unregistered horse to the NCHA prior to the beginning of the first scheduled go-round.
- c. Additional Stipulations After the draw for named horses:
 - i. When a Slot for a named horse is sold, the named horse cannot be re-entered.
 - ii. When a named horse is purchased, the new owner can either:
 - 1. Show in the original draw position for that horse or
 - 2. Purchase a slot and show in that slot's draw position. If this option is chosen, the original owner of that horses' slot can sell the slot or name a new horse for that draw position.

d. Buyer Fees:

- i. \$0 if completed prior to the draw
- ii. \$400 (\$200 jackpot, \$200 show fee) if completed prior to 5pm the evening before the first go-round starts.
- iii. \$500 (\$250 jackpot, \$250 show fee) if completed after 5pm but prior to the start of the go-round.
- iv. Sales that are not complete (including a release from the current owner, new horse registration papers and rider name must be submitted to NCHA for the new entry and all fees paid) prior to the start of the first go round will NOT BE ALLOWED to compete.

15. Double Entry & Transfer Privileges

- a. DOUBLE ENTRIES
 - i. Any HORSE paid up to date in the Open or Non-Pro on or before the August 16th payment may enter in the other division without late penalty.
 - ii. A Non-Pro SLOT paid up to date on or before August 16th payment may make an entry into the Open without incurring late entry penalties PROVIDED you name the horse at the time of the double entry.

b. Once a horse has been named it is an entry, therefore it cannot be considered for double entry privileges. *If a horse has been entered in both the Open and the Non-Pro divisions by making one or more payments in each division, it is considered an entry. Payments cannot be dropped in one division and later considered for double entry back into that division.

c. SLOT Transfers

i. Entry Made in a Non-Pro rider's name (slot) may not be transferred to the open division.

d. NAMED Entry Transfers

- i. A Non-Pro may transfer to the Open division only if their horse was named on the first payment entry form.
- e. A horse (not a slot) may be transferred to or from any division on or before August 16th without penalty and may be transferred until the final payment, October 2 by payment of \$100 transfer fee. A transfer after the final payment but before the draw will be required to pay \$1100 transfer fee.
- f. Owners who transfer a horse from the Non-Pro to the Open are not allowed to repurchase a Non-Pro slot at a later date for the same horse by the same owner.

16. Substitutions (Named Horses):

- a. Open Division
 - i. Prior to the beginning of the division first go round, horse owners are allowed to substitute another horse owned by the same owner by:
 - 1. Submitting registration papers for the new horse.
 - 2. Paying a Substitution fee:
 - a. Before Final Payment = Paying one-half (½) again as much as has been paid on original entry (Jackpot + Show Fees) with a maximum of \$250.
 - b. After Final Payment = amount equal to entry fee (Jackpot + Show Fees).
 - ii. No substitution will be allowed after the beginning of the division's first goround.

b. Non-Pro / Amateur

- i. Prior to the beginning of the division first go round horse owners in a Non-Pro or Amateur Division / Level are allowed to substitute another horse owned by the same owner by:
 - 1. Notifying the NCHA office.
 - 2. Submitting the registration papers for the new horse.
 - 3. Paying one-half (1/2) again as much as has been paid on original entry (Jackpot + Show Fees) with a maximum of \$250.

- ii. No substitution will be allowed after the beginning of the division's first goround.
- iii. Reminder a horse that has had their draw position sold CANNOT re-enter the original level.
- 17. Change of Ownership: An entry may change ownership and retain eligibility under the new owner, provided the new owner keeps up all payments when due.
- 18. Declining Entries: Entries to this contest are received subject to the right of the National Cutting Horse Association Limited Age Events Committee to refuse the entry or acceptance of a transfer of any entry without notice to the subscriber. The decisions of the Committee shall be final in all matters.
- 19. Cancellation: The National Cutting Horse Association reserves the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entry money to subscribers.
- 20. Rules applicable to all entries
 - a. Medication Rules and Testing Provisions
 - All entries are bound by all rules within the NCHA Rulebook, including Standing Rule 35A.
 - ii. Standing Rule 35A.6 Testing Policy
 - 1. Horses competing in all divisions / classes will be drug tested during the event as follows:
 - a. One-Set Finals: The unofficial scores of the three highest scoring horses will be tested immediately following those finals.
 - b. Multiple-Set Finals: The unofficial scores of the top two highest scoring horses (including ties) in each set will be tested immediately following their respective sets.
 - c. Random Testing: Show management will predetermine days and sets in which additional random drug testing will be done in go rounds and semi-finals prior to their respective draws. Slots within those pre-determined sets that will be tested will be randomly selected from within those sets prior to their respective draws.
 - iii. Holding Payout Checks: The payout checks for the first and second place (plus ties) horses will be held until cleared for prohibited medication by testing lab, which could result in delay of payment.
 - iv. Standing Rule 35A.8.d Disciplinary Guidelines for use of a Prohibited Substance

- 1. The following disciplinary actions may be considered by the NCHA, NCHA Medication Review Committee or Executive Committee in addressing a violation of the Medication Rules and Guidelines relating to the use of a prohibited substance by any responsible person and defined by these rules. The following are general guidelines only. The NCHA, NCHA Medication Review Committee or Executive Committee may assess discipline (including fines, probations, and suspensions) that is equal to, less than or greater than the discipline provided in the following guidelines based upon the nature of the violation and the severity of the circumstances presented in each case. A horse testing positive for a prohibited substance in any class at a show may also be disqualified from all other classes at that show in which that horse participated and such horse will forfeit all monies, awards and titles won in any class at the show. Any disqualified horse shall forfeit and must return all awards and monies won at the show. Offenses for use of a prohibited substance may, at the discretion of the Committee ultimately determining the violation, permanently remain on the responsible parties' record.
- 2. Disciplinary action for offenses resulting from the use of a prohibited substance:
 - a. First Offense
 - i. Forfeiture of any winnings from the show
 - ii. A fine of \$5,000
 - iii. Loss of any titles and awards won at the show
 - b. Second Offense
 - i. Forfeiture of any winnings from the show
 - ii. A fine of \$10,000
 - iii. Loss of any titles and awards won at the show
 - iv. Membership suspension of one (1) year
 - c. Third Offense
 - i. Forfeiture of any winnings from the show
 - ii. A fine of \$15,000
 - iii. Loss of any titles and awards won at the show
 - iv. Membership suspension of at least two (2) years
- v. By submitting your entry, you acknowledge that you are familiar with and subject to the provisions of the Medication and Drug Rules and Guidelines contained in the NCHA Rulebook. You also agree that, if requested, you will provide blood samples from your horse to comply with the random testing provisions contained in those Rules and Guidelines.

b. The Draw

- i. The draw will not take place more than twenty-one (21) days prior to the beginning of the first schedule go-round.
- ii. Only those horses & riders for which payment has been received in the NCHA office will be drawn. There will be no exceptions.
- iii. If a horse properly entered is left out of the draw, a redraw will not be held. To establish a working order for this entry, a judge will draw one number based on the original draw numbers and insert the entry in the behind the entry drawn.
- c. Ties In the event of a tie for the championship in any division:
 - i. If two entries tie, a work off will not be held to determine the winner. First and second place premiums will be added together and split fifty percent equally. (i.e. Co-Champions).
 - 1. The winner of the tie breaker for awards will be determined by adding up all five scores from the finals.
 - 2. If still tied, the scores from the previous semifinals and go-rounds will be added.
 - 3. If still tied, the winner will be determined via a coin toss with the riders/owners. The winning horse will select first from the pool of awards, with remaining prizes being selected in alternating fashion.
 - ii. If 3 or more entries tie, all premiums will be split equally. A work-off will be held for prizes and the title.
- d. Horse Health Papers & Back Numbers
 - i. All horses on-grounds will be required to have current 30 day health paper and a negative EIA (Coggins) test.
 - ii. Competition Horses will be required to wear a back number while in the Coliseum, Watt Arena, warm up areas and in the practice pen.
 - iii. Back numbers may be picked up from the show office following presentation of health papers and receipt of some form of payment for pending show expenses.
 - iv. Non-Competition horses do not require back numbers but will need to be able to show proof of health papers at show office, stall office or in the practice pen.
- e. Release and Indemnification: By signing the entry form to participate in the NCHA Futurity, being held at The Will Rogers Complex of Ft. Worth, TX (the "WRC"), the entrant acknowledges and agrees that there is inherent danger in participation in the Event due to potential exposure of the entrant and his/her horses to the Novel Coronavirus (the "Coronavirus") at the Event. By signing this entry form, the entrant acknowledges and agrees that:
 - i. prior to entering the Event, he/she was made aware of and understood the potential dangers presented by the Coronavirus at the Event:

- ii. even though the NCHA and the WRC have taken all reasonable steps to present a safe environment for the Event, there are many factors beyond their reasonable control that could lead to potential exposure to the Coronavirus at the Event; and
- iii. he/she is voluntarily assuming all risk of harm, illness or injury to themselves or any of their horses that may be incurred by participating in the Event, or activities associated with the Event, that are in any way related to the Coronavirus. The entrant further hereby releases the NCHA and the WRC and their respective officers, owners, employees, agents, representatives, directors, shareholders, officials, administrators, contractors, vendors, sponsoring agencies, sponsors, attorneys, and the owners and lessors of animals used to conduct the Event (hereinafter collectively referred to as "Releasees") from any and all liability, claim, damages, injury, judgment, loss, liability, cost and expense (including, without limitation, attorney's fees and costs) incurred by the entrant or his/her horses allegedly arising out of or connected with his/her participation in the Event, or at any activities associated with the Event, that relate in any way to the Coronavirus. This Release includes, but is not limited to, claims for bodily injury and physical illness of the entrants and their horses in any way relating to the Coronavirus, that were allegedly incurred or sustained during the Event or at any activities associated with the Event.

21. Identification of Horses

- a. Registration Papers
 - i. A photocopy of the registration certificate of a registered horse or required documents for an Unregistered horse* (see below) must be provided to the NCHA office on the dates outlined below:
 - 1. Horses entered / named prior to August 16th in office by August 16th.
 - 2. Documentation received after August 16th and thirty days prior to the first day of the event is subject to a \$100 fee.
 - 3. Horses entered after August 16th must furnish this identification upon entry. Failure to comply will cause all fees to be forfeited and entry disqualified.
 - ii. *Unregistered horse: Horse that are not registered with an affiliated breed organization must submit the following to the NCHA office by August 16th:
 - 1. An Unregistered horse application (can be found at <u>nchacutting.com</u>)
 - 2. A bill of sale
 - 3. A vet statement attesting to the horse's age
 - 4. Photos of the horse front & back & both sides

22. Judges & Judging

- a. Separate judges will be used to officiate the Open & Non-Pro divisions of the NCHA World Championship Futurity.
- b. NCHA Judging Rules set forth in the Handbook will apply
- c. The NCHA Adjusted Monitor System, and all rules and policies set forth by that system will be used.
- d. Each horse is allotted two and one-half (2 ½) minutes to work during a run. The working time starts when the rider crosses the official timeline.
 - i. As soon as the horse, owner and rider's name are called to the herd by the announcer (the "rider announcement"), the announcer will start the 1-2 ½ minute clock to let the rider know how much time the rider must cross the official timeline and begin the rider's run.
 - ii. If the rider has helped the contestant immediately preceding the rider's run or has drawn back to back in the working order, the rider will have 2 ½ minutes from the rider announcement to cross the official timeline and start their run.
 - iii. If the rider has not helped the contestant immediately preceding the rider's run or has not drawn back to back in the working order, the rider will have 1 minute from the time of the rider announcement to cross the official timeline and start their run.
 - iv. If the rider fails to cross the official timeline in the time allotted under the 1-2 ½ minute clock, a buzzer and on additional minute will be added to the 1-2 ½ minute clock. (This is the only warning that the rider will receive relating to the 1-2 ½ minute clock.)
 - v. If the rider receives any additional buzzers relating to the 1-2 ½ minute clock at any time during that show, it will result in a \$500 fine per occurrence. (i.e.- second buzzer is \$500 fine, third buzzer is an additional \$500 fine, etc.)
 - vi. All fines assessed under this rule must be paid prior to the rider's exhibiting a horse in any future NCHA produced show.
 - vii. All NCHA produced events will use the 1-2 ½ minute clock as described above in each class, including the finals. It will be optional for all other NCHA sanctioned events to use the 1-2 ½ minute clock as described above.

23. These Rules subject to change by action of the Executive Committee only.